

# PDO-eS<sup>2</sup>CNNs: Partial Differential Operator Based Equivariant Spherical CNNs

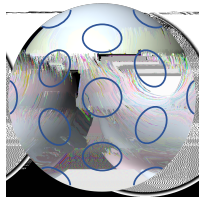
Zhengyang Shen<sup>1</sup>, Tiancheng Shen<sup>2</sup>, Zhouchen Lin<sup>4,5</sup>, Jinwen Ma<sup>3</sup>

{  
**Abstract**

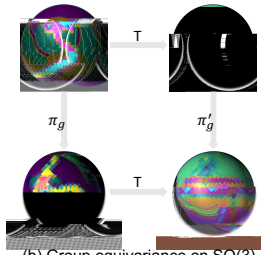
**Introduction**

$\mathbb{R}^2$   $\mathbb{R}^3$   $\mathbb{R}^4$   $\mathbb{R}^5$   $\mathbb{R}^6$   $\mathbb{R}^7$   $\mathbb{R}^8$   $\mathbb{R}^9$   $\mathbb{R}^{10}$   $\mathbb{R}^{11}$   $\mathbb{R}^{12}$   $\mathbb{R}^{13}$   $\mathbb{R}^{14}$   $\mathbb{R}^{15}$   $\mathbb{R}^{16}$   $\mathbb{R}^{17}$   $\mathbb{R}^{18}$   $\mathbb{R}^{19}$   $\mathbb{R}^{20}$   $\mathbb{R}^{21}$   $\mathbb{R}^{22}$   $\mathbb{R}^{23}$   $\mathbb{R}^{24}$   $\mathbb{R}^{25}$   $\mathbb{R}^{26}$   $\mathbb{R}^{27}$   $\mathbb{R}^{28}$   $\mathbb{R}^{29}$   $\mathbb{R}^{30}$   $\mathbb{R}^{31}$   $\mathbb{R}^{32}$   $\mathbb{R}^{33}$   $\mathbb{R}^{34}$   $\mathbb{R}^{35}$   $\mathbb{R}^{36}$   $\mathbb{R}^{37}$   $\mathbb{R}^{38}$   $\mathbb{R}^{39}$   $\mathbb{R}^{40}$   $\mathbb{R}^{41}$   $\mathbb{R}^{42}$   $\mathbb{R}^{43}$   $\mathbb{R}^{44}$   $\mathbb{R}^{45}$   $\mathbb{R}^{46}$   $\mathbb{R}^{47}$   $\mathbb{R}^{48}$   $\mathbb{R}^{49}$   $\mathbb{R}^{50}$   $\mathbb{R}^{51}$   $\mathbb{R}^{52}$   $\mathbb{R}^{53}$   $\mathbb{R}^{54}$   $\mathbb{R}^{55}$   $\mathbb{R}^{56}$   $\mathbb{R}^{57}$   $\mathbb{R}^{58}$   $\mathbb{R}^{59}$   $\mathbb{R}^{60}$   $\mathbb{R}^{61}$   $\mathbb{R}^{62}$   $\mathbb{R}^{63}$   $\mathbb{R}^{64}$   $\mathbb{R}^{65}$   $\mathbb{R}^{66}$   $\mathbb{R}^{67}$   $\mathbb{R}^{68}$   $\mathbb{R}^{69}$   $\mathbb{R}^{70}$   $\mathbb{R}^{71}$   $\mathbb{R}^{72}$   $\mathbb{R}^{73}$   $\mathbb{R}^{74}$   $\mathbb{R}^{75}$   $\mathbb{R}^{76}$   $\mathbb{R}^{77}$   $\mathbb{R}^{78}$   $\mathbb{R}^{79}$   $\mathbb{R}^{80}$   $\mathbb{R}^{81}$   $\mathbb{R}^{82}$   $\mathbb{R}^{83}$   $\mathbb{R}^{84}$   $\mathbb{R}^{85}$   $\mathbb{R}^{86}$   $\mathbb{R}^{87}$   $\mathbb{R}^{88}$   $\mathbb{R}^{89}$   $\mathbb{R}^{90}$   $\mathbb{R}^{91}$   $\mathbb{R}^{92}$   $\mathbb{R}^{93}$   $\mathbb{R}^{94}$   $\mathbb{R}^{95}$   $\mathbb{R}^{96}$   $\mathbb{R}^{97}$   $\mathbb{R}^{98}$   $\mathbb{R}^{99}$   $\mathbb{R}^{100}$





(a)  $S^2 \simeq SO(3)/SO(2)$



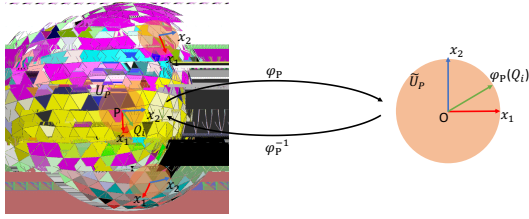
(b) Group equivariance on  $SO(3)$

$$\begin{array}{ccccc}
 & & SO(3) & & \\
 & & \downarrow \nu & & \downarrow \nu \\
 S^2 \simeq SO(3)/SO(2) & \simeq & SO(3) & & SO(3) \\
 & & \downarrow T & & \downarrow T \\
 SO(3) & & & & \\
 g \in SO(3) & & & & \\
 \downarrow \nu & & \text{fi} & & T
 \end{array}$$

Rn

$$\begin{array}{l}
 R \in SO(3) \\
 R = Z(\alpha_R)Y(\beta_R)Z(\gamma_R),
 \end{array}$$

S10 8Z966



$$U_P \subset \mathcal{S}^2 \quad P \in \mathcal{S}^2 \quad \varphi_P$$

$$\tilde{U}_P \subset \mathbb{R}^2 \quad \varphi_P^{-1}$$

$$\varphi_P(P) = 0 \quad \tilde{U}_P = \varphi_P(U_P) \subset \mathbb{R}^2$$

$$\varphi_P^{-1}(x_1, x_2) = P \left( x_1, x_2, \sqrt{1 - |x|^2} \right)^T \quad P \in \mathcal{S}^2$$

$$\varphi_P \quad \begin{matrix} 1 & 2 \\ \text{fi} & \text{v} \\ & \text{v} \end{matrix} \quad U_P$$

$$\in C^\infty(\mathbb{R}^3) \quad \in C^\infty(\mathcal{S}^2) \quad \text{fi} \quad \mathbb{R}^3$$

$$\partial^2 / \partial_i \partial_j \quad \text{v} \quad \partial / \partial_i$$

$$\varphi_P^{-1} \in C^\infty(\mathbb{R}^2) \quad \forall P \in \mathcal{S}^2$$

$$\frac{\partial}{\partial_i} [ \cdot ](P) = \frac{\partial}{\partial_i} [ \cdot \varphi_P^{-1} ](0),$$

$$\frac{\partial^2}{\partial_i \partial_j} [ \cdot ](P) = \frac{\partial^2}{\partial_i \partial_j} [ \cdot \varphi_P^{-1} ](0).$$

$$\text{B} \quad \begin{matrix} \text{fi} & \text{v} & \text{fi} & \text{v} \\ \text{fi} & \text{v} & \text{fi} & \text{v} \end{matrix}$$

### Rotated Parameterized Differential Operators

$$\text{H} \quad \begin{matrix} \text{v} \\ \text{fi} \end{matrix} \quad \text{H} \quad \text{H}$$

$$2 \text{ v}$$

$$H(\nu, ; \mathbf{w}) = \nu_1 + \nu_2 + \nu_3 + \nu_4 + \nu_5 + \nu_6^2,$$

[·]

$$\partial / \partial_1 \quad \mathbf{w} = \partial / \partial_2 \quad H(\partial / \partial_1, \partial / \partial_2; \mathbf{w}) =$$

$$H(\nu, ; \mathbf{w}) = \nu_1^2 + \nu_2^2 + \nu_3^2 + \nu_4^2 + \nu_5^2 + \nu_6^2$$

$$SO(2) \quad 2 \times 2 \quad A \in$$

$$\chi^{(A)} = H \left( \frac{\partial}{\partial_1^{(A)}}, \frac{\partial}{\partial_2^{(A)}}; \mathbf{w} \right), \quad 4$$

$$\left( \frac{\partial}{\partial_1^{(A)}}, \frac{\partial}{\partial_2^{(A)}} \right)^T = A^{-1} \left( \frac{\partial}{\partial_1}, \frac{\partial}{\partial_2} \right)^T \quad 5$$

$$\nabla_x^{(A)} = A^{-1} \nabla_x,$$

$$\nabla_x = (\partial / \partial_1, \partial / \partial_2)^T \quad 5 \quad Z$$

$$\left( \nabla_x^{(A)} \right)^2 := \begin{bmatrix} \frac{\partial^2}{\partial x_1^{(A)} \partial x_1^{(A)}} & \frac{\partial^2}{\partial x_1^{(A)} \partial x_2^{(A)}} \\ \frac{\partial^2}{\partial x_1^{(A)} \partial x_2^{(A)}} & \frac{\partial^2}{\partial x_2^{(A)} \partial x_2^{(A)}} \end{bmatrix}$$

$$= A^{-1} \begin{bmatrix} \frac{\partial^2}{\partial x_1 \partial x_1} & \frac{\partial^2}{\partial x_1 \partial x_2} \\ \frac{\partial^2}{\partial x_1 \partial x_2} & \frac{\partial^2}{\partial x_2 \partial x_2} \end{bmatrix} A = A^{-1} \nabla_x^2 A.$$

$$\chi^{(A)} \quad \begin{matrix} \text{fi} \\ \partial / \partial_1^{(A)} \\ \partial / \partial_2 \end{matrix}$$

$$\chi^{(A)} = w_1 + (w_2; w_3) \nabla_x^{(A)} + \left\langle \begin{bmatrix} w_4 & \frac{w_5}{2} \\ \frac{w_5}{2} & w_6 \end{bmatrix}; (\nabla_x^{(A)})^2 \right\rangle$$

$$= w_1 + (w_2; w_3) A^{-1} \nabla_x + \left\langle \begin{bmatrix} w_4 & \frac{w_5}{2} \\ \frac{w_5}{2} & w_6 \end{bmatrix}; A^{-1} \nabla_x^2 A \right\rangle$$

$$= w_1 + (w_2; w_3) A^{-1} \nabla_x + \left\langle A \begin{bmatrix} w_4 & \frac{w_5}{2} \\ \frac{w_5}{2} & w_6 \end{bmatrix} A^{-1}; \nabla_x^2 \right\rangle;$$

$$\langle \cdot, \cdot \rangle \quad \chi^{(A)} \quad \mathbf{w}$$

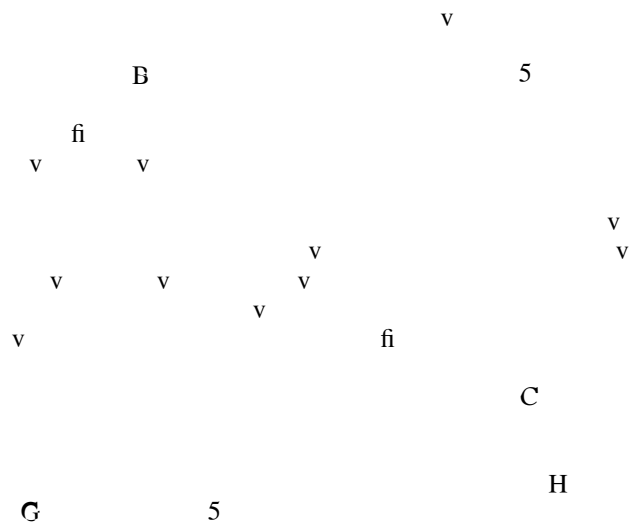
$$\text{C} \quad \chi^{(A)} \quad \text{B} \quad \text{C} \quad \text{C} \quad \text{v}$$

### Equivariant Differential Operators

$$\text{fi} \quad \chi^{(A)} \quad \text{fi} \quad \text{v}$$

## Implementation

### Icosahedral Spherical Mesh



$$\forall R \in SO(3) \quad \chi^{(A_R)}[P_R] = \chi^{(A)}[P].$$

$$\int_{SO(2)} \chi_A^{(A_R)} [P_R, A_R A] d\nu(A),$$

$$\int_{SO(2)} \chi_A^{(A_R)} [P_R, A_R A] d\nu(A),$$

$$\tilde{R} \in SO(3)$$

**Theorem 1** If  $\phi \in C^\infty(S^2)$  and  $\psi \in C^\infty(SO(3))$ ,  $\forall \tilde{R} \in SO(3)$ , we have

$$\begin{aligned} \pi_{\tilde{R}}^S[\phi] &= \pi_{\tilde{R}}^{SO}[\psi], \\ \pi_{\tilde{R}}^{SO}[\psi] &= \pi_{\tilde{R}}^S[\phi]. \end{aligned}$$

### Equivariant Network Architectures

$$T[\phi] = \psi^{(L)}[\dots \sigma(\psi^{(1)}[\sigma(\phi)])]$$

**Theorem 2** If  $\phi \in C^\infty(S^2)$ ,  $\forall \tilde{R} \in SO(3)$ , we have

$$T[\pi_{\tilde{R}}^S[\phi]] = \pi_{\tilde{R}}^{SO}[T[\phi]].$$

$$\pi_{\tilde{R}}^S[\phi] \quad T \quad \tilde{R}$$

$$B \quad C \quad 1 \times 1$$

$$w \quad C$$

### Estimation of Partial Derivatives

$$I(P) = \dots \subset S^2$$

$$\begin{aligned} \varphi_P(Q_i) &= (i_1, i_2) \quad \forall i = 1, 2, \dots, \\ \left( i_1, i_2, \sqrt{1 - \frac{i_1^2}{2} - \frac{i_2^2}{2}} \right)^T &= P^{-1} Q_i. \end{aligned}$$

$$f_P(x_{i1}, x_{i2}) = f_P(0,0) + x_{i1} \frac{\partial f_P}{\partial x_1} + x_{i2} \frac{\partial f_P}{\partial x_2} + \frac{1}{2} x_{i1}^2 \frac{\partial^2 f_P}{\partial x_1^2} + x_{i1} x_{i2} \frac{\partial^2 f_P}{\partial x_1 \partial x_2} + \frac{1}{2} x_{i2}^2 \frac{\partial^2 f_P}{\partial x_2^2} + O(\rho_i^3)$$

$$\rho_i = \sqrt{\frac{x_{i1}^2}{2} + \frac{x_{i2}^2}{2}}$$

$$\left[ f_P(x_{i1}, x_{i2}) - f_P(0) \right] \approx \left[ x_{i1} \quad x_{i2} \quad \frac{x_{i1}^2}{2} \quad x_{i1} x_{i2} \quad \frac{x_{i2}^2}{2} \right] D_P$$

$$D_P = \left( \frac{\partial f_P}{\partial x_1}, \frac{\partial f_P}{\partial x_2}, \frac{\partial^2 f_P}{\partial x_1^2}, \frac{\partial^2 f_P}{\partial x_1 \partial x_2}, \frac{\partial^2 f_P}{\partial x_2^2} \right)^T \Big|_{x_1=x_2=0}$$



					#
C C	✓	96	94	95	5
H G C	✗	99.23	35.60	94.92	
U C	✗	99.45	29.84	97.05	5
<b>PDO-eS<sup>2</sup>CNN</b>	✓	<b>99.44 ± 0.06</b>	<b>90.14 ± 0.58</b>	<b>98.93 ± 0.08</b>	
C C C G	✗	94.4			
C K v	✓	96.4	<b>96</b>	96.6	
C C	✓	99.43	69.99	99.31	
<b>PDO-eS<sup>2</sup>CNN</b>	✓	<b>99.60 ± 0.04</b>	<b>94.25 ± 0.29</b>	<b>99.45 ± 0.05</b>	

v

				#
U C	5	5	U	
	55	4		
H G C	5			5
U	54			5
	5	4		5
<b>PDO-eS<sup>2</sup>CNN</b>	<b>60.4 ± 1.0</b>	<b>44.6 ± 0.4</b>		

		#
C C	5.96 ± 0.48	
C	4	4
<b>PDO-eS<sup>2</sup>CNN</b>	<b>3.78 ± 0.07</b>	<b>4</b>

4

v 5

U

fi

69.99% B v C 4 5 v  
C v 60 v  
v fi

### Atomization Energy Prediction

B v 5  
v i i v 23 v  
5 H C v fi 5 v  
C C 4 C v v  
C v v v v  
C v v v v

### Omnidirectional Image Segmentation

v v 4  
13 GB v 5 v v U  
fi v v v v  
C fi fi  
C H U fi  
H U v 5  
v v v v  
fi v v v

### Conclusions

fi v C  
C C v v  
v v v v v  
v v v v v  
v v v v v

## Acknowledgements

v  
5  
5  
KB B B  
C  
K  
C  
fi  
KB C  
fi

## References

*ArXiv e-prints*  
B  
*Numerical Analysis*  
B C  
U v  
*Journal of the American Chemical Society*  
B  
*NeurIPS* 4 44  
B B  
G  
*IEEE Signal Processing Magazine*  
44 4  
B 4  
G  
*ICLR*  
C G  
C  
C  
vv  
v  
*International Conference on 3D Vision (3DV)*  
C  
C G  
C  
G  
C  
C  
C  
C  
5 5 54  
G  
C  
ICRA 45 45

v  
*Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition* 4 4 4  
v C  
K  
C  
ECCV 54  
K v  
G B C  
*IJCAI*  
B B 5  
H H  
*Astrophysical Journal* 5  
C 5 B  
v  
*ICML* 44 45  
C H K  
*ICLR*  
K v  
*NeurIPS*  
B  
*Mathematical Monthly* 5 5 5 4  
v G H K  
v  
*NeurIPS* 44 44  
H B  
*The American*  
v B K  
v  
*NeurIPS* 44 44  
H  
C v  
C  
*Astronomy and Computing* 4  
B C  
K  
C  
v  
v  
U 4  
v  
B v  
*ICML*  
K V



5 5 G K 360° C v  
NeurIPS  
G B C  
v V C NeurIPS  
C C  
ICCV 5 54 G  
C C  
CAI 4 C IJ-